

# A classical analogue of negative information

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(Dated: 28 November 2005)

Recently, it was discovered that the *quantum partial information* needed to merge one party's state with another party's state is given by the conditional entropy, which can be negative [Horodecki, Oppenheim, and Winter, Nature **436**, 673 (2005)]. Here we find a classical analogue of this, based on a long known relationship between entanglement and shared private correlations: namely, we consider a private distribution held between two parties, and correlated to a reference system, and ask how much secret communication is needed for one party to send her distribution to the other. We give optimal protocols for this task, and find that private information can be negative – the sender's distribution can be transferred and the potential to send future distributions in secret is gained through the distillation of a secret key. An analogue of *quantum state exchange* is also discussed and one finds cases where exchanging a distribution costs less than for one party to send it. The results give new classical protocols, and also clarify the various relationships between entanglement and privacy.

**Introduction.** While evaluating the quality of information is difficult, we can quantify it. This was first done by Shannon [1] who showed that the amount of information of a random variable  $X$  is given by the Shannon entropy  $H(X) = -\sum P_X(x) \log_2 P_X(x)$  where  $P_X(x)$  is the probability that the source produces  $X = x$  from distribution  $P_X$ . If  $n$  is the length of the message (of independent samples of  $X$ ) we want to communicate to a friend, then  $\sim nH(X)$  is the number of bits required to send them. If our friend already has some prior information about the message we are going to send him (in the form of the random variable  $Y$ ), then the number of bits we need to send him is less, and is given by  $n$  times the conditional entropy  $H(X|Y) = H(XY) - H(Y)$ , according to the Slepian-Wolf theorem [2].

In the case of quantum information, it was shown by Schumacher [3] that for a source producing a string of  $n$  unknown quantum states with density matrix  $\rho_A$ ,  $\sim nS(A)$  quantum bits (qubits) are necessary and sufficient to send the states where  $S(A) = -\text{Tr} \rho_A \log \rho_A$  is the von Neumann entropy (we drop the explicit dependence on  $\rho$  in  $S(A)$ ). One can now ask how many qubits are needed to send the states if the receiver has some prior information. More precisely, if two parties, Alice and Bob, possess shares  $A$  and  $B$  of a bipartite system  $AB$  described by the quantum state  $\rho_{AB}$ , how many qubits does Alice need to send Bob so that he can locally prepare a bipartite system  $A'B$  described by the same quantum state (classical communication is free in this model). We say that Bob has some prior information in the form of state  $\rho_B = \text{Tr} \rho_{AB}$ , and Alice wants to *merge* her state with his by sending him some *partial quantum information*.

Recently, it was found that a rate of  $S(A|B) = S(AB) - S(B)$  qubits are necessary and sufficient [4, 5] for this task. More mathematically: just as in Schumacher's

quantum source coding [3], we consider a source emitting a sequence of  $n$  unknown states, but the statistics of the source, i.e. the average density matrix of the states, is known. The ensemble of states which realize the density matrix is however unspecified. We then demand that the protocol allows Alice to transfer her share of the state to Bob with high probability for all possible states from the ensemble. A more compact way to say this is to imagine that the state which Alice and Bob share is part of some pure state shared with a reference system  $R$  and given by  $|\psi\rangle_{ABR}$  such that  $\rho_{AB}$  is obtained by tracing over the reference system. A successful protocol will result in  $\rho_{AB}^{\otimes n}$  being with Bob, and  $|\psi\rangle_{ABR}^{\otimes n}$  should be virtually unchanged, while entanglement is consumed by the protocol at rate  $S(A|B)$ .

The quantity,  $S(A|B)$  is the quantum conditional entropy, and it can be negative [6, 7, 8]. This seemingly odd fact now has a natural interpretation [4] – the conditional entropy quantifies how many qubits need to be sent from Alice to Bob, and if it is negative, they gain the potential to send qubits in the future at no cost. That is, Alice can not only send her state to Bob, but the parties are additionally left with maximally entangled states which can be later be used in a teleportation protocol to transmit quantum states without the use of a quantum channel. This is the operational meaning of the fact that partial information can be negative in the quantum world.

**A classical model.** In order to further understand the notion of negative information, we are interested in finding some classical analogue of it. Indeed we will find a paradigm in which not only is there a notion of negative information, but also the rate formulas and proof techniques are remarkably similar. We shall take as our starting point the similarity between entanglement and private correlations, a fact that was used in constructing the first entanglement distillation protocols, was used

to conjecture new types of classical distributions [9], but which was first made fully explicit by Collins and Popescu [10]. In this paradigm, maximally entangled states are replaced by perfect secret correlations (a “key”)  $\bar{\Psi}$ , with probability distribution  $\bar{\Psi}_{XY}(0,0) = \bar{\Psi}_{XY}(1,1) = \frac{1}{2}$ . By *secret*, we mean that a third party, an eavesdropper Eve, is uncorrelated with Alice and Bob’s secret bit. We then replace the notion of classical communication by public communication (i.e., the eavesdropper gets a copy of the public messages that Alice and Bob send to each other). Quantum communication (the sending of coherent quantum states) is replaced by secret communication, i.e. communication through a secure channel such that the eavesdropper learns nothing about what is sent. We thus have sets of states (i.e. classical distributions between various parties and an eavesdropper), and a class of operations – local operations and public communication (LOPC). Under LOPC one cannot increase secrecy, just as under local operations and classical communication (LOCC) one cannot increase entanglement. The analogy has the essential feature, as in entanglement theory, that there is a resource (secret key, pure entanglement) which allows for the transfer of information (private distributions, quantum states), and this information can be manipulated (by means of classical or public information), and transformed into the resource. This allows for the possibility of negative information. We will further be able to make new statements about the analogy. For example, we will find indications for an analogue of pure states, mixed states, and various types of GHZ states [11].

Looking at the quantum model, we should consider an arbitrary distributed source between Alice and Bob, described by a pair of random variables with probability distribution  $P_{XY}$ ; furthermore we need a “purification”, that is an extension of this distribution to a distribution  $P_{XYZ}$  with  $Z$  being held by a party  $R$ , which we call the reference (who has the marginal distribution  $P_Z$ ). According to this and [10], the natural approach will be as follows. A pure quantum state held between two parties has a Schmidt decomposition  $|\psi\rangle_{TR} = \sum_i \sqrt{p(i)} |e_i\rangle \otimes |f_i\rangle$ , with orthonormal bases  $\{|e_i\rangle\}$  and  $\{|f_i\rangle\}$ . An analogue of this is a private *bi-disjoint distribution*, i.e. a distribution  $P_{TZ}$  (where  $T \equiv XY$ ),

$$P_{TZ}(tz) = \sum_i p(i) P_{T|I=i}(t) P_{Z|I=i}(z), \quad (1)$$

with conditional distributions  $P_{Z|I}$  and  $P_{T|I}$ , such that  $P_{T|I=i}(t) P_{T|I=j}(t) = 0$  and  $P_{Z|I=i}(z) P_{Z|I=j}(z) = 0$  for  $i \neq j$ . Just as the quantum system  $TR$  is in a product state between  $T$  and  $R$  once  $i$  is known, so the bi-disjoint distribution is in product form  $P_{TZ|I=i}(tz) = P_{T|I=i}(t) P_{Z|I=i}(z)$  once  $i$  is known. And just as a pure quantum state is decoupled from any environment, so our distribution should be decoupled from the eavesdropper. Note that it appears necessary here to introduce a fourth

party  $E$ , something we could avoid in the quantum setting by demanding that the overall pure state is preserved – for distributions the meaning of this is staying decoupled from the eavesdropper, which we have to distinguish from the reference [12]. Introducing the eavesdropper into the notation, we have  $P_{XYZE} = P_{XYZ} \otimes P_E$ . Such distributions we call *private*, meaning that  $E$  is decoupled. In that regard, we shall speak of *secret* distributions (between Alice and Bob) where they are decoupled from  $R$  and  $E$  – following terminology introduced on [13]. We will provide further justification for the appropriateness of this analogue of pure states after we have fully analysed merging and negative information [14]. Note however, that it has the following desired property: in the quantum case, considering a purification of the  $AB$  system allows us to enforce the requirement that the protocol succeed for particular pure state decompositions of  $\rho_{AB}$ . Likewise the distribution  $P_{XYZ}$  allows us to enforce the requirement that the protocol succeed for a decomposition of the distribution  $P_{XY}$ , with the record being held by  $R$ .

We now introduce the analogue of quantum state merging – *distribution merging* – which naturally means that at the end Bob and the reference should possess a sample  $\hat{X}\hat{Y}Z$  from the distribution  $P_{XYZ}$ , with  $Z$  held by the reference and  $\hat{X}\hat{Y}$  by Bob. The protocol may use public communication freely; we will consider only the rate of secret key used or created. We also go to many copies of the random variables – thus we denote by  $X^n$  many independent copies of random variable  $X$ , while  $\hat{X}^n$  denotes the output sample of length  $n$ . Formally:

**Definition 1** *Given  $n$  instances of a private bi-disjoint distribution  $P_{XYZ}$  between  $AB$  and  $R$ , a distribution merging protocol between a sender who holds  $X$  and receiver who holds  $Y$ , is one which creates, by possibly using  $k$  secret key bits and free public communication, a distribution  $P'_{\hat{U}^l(\hat{X}^n \hat{Y}^n \hat{V}^l) Z^n \hat{E}^n}$  such that  $P'$  approximates  $P_{XYZ}^{\otimes n} \otimes \bar{\Psi}_{UV}^{\otimes l}$  for large  $n$  (in total variational, or  $\ell^1$ , distance). Here  $l$  is the number of secret bits shared at the end between Alice and Bob; Alice has  $\hat{U}^l$  and Bob  $\hat{V}^l \hat{X}^n \hat{Y}^n$ .*

*The rate of consumption of secret key for the protocol, called its secret key rate, is defined to be  $\frac{1}{n}(k - l)$ .*

We can now state our main result:

**Theorem 2** *A secret key rate of*

$$I(X : Z) - I(X : Y) = H(X|Y) - H(X|Z) \quad (2)$$

*bits is necessary and sufficient to achieve distribution merging. Here,  $I(X : Y) := H(X) + H(Y) - H(XY)$  is the mutual information. When this quantity is nonnegative, it is the minimum rate of secret key consumed by an optimal merging protocol. When it is negative, not only is distribution merging achieved, but  $I(X : Y) - I(X : Z)$  bits of secret key remain at the end of the protocol.*

Before proving this theorem, and introducing the protocol in full generality, it may be useful to discuss three very simple examples:

1. Alice's bit is independent of Bob's bit, but correlated with Eve:  $P_{XYZ}(0,0,0) = P_{XYZ}(1,0,1) = \frac{1}{2}$ . In this case, Alice must send her bit to Bob through a secret channel, consuming one bit of secret key.
2. Alice and Bob have a perfect bit of shared secret correlation: Bob can locally create a random pair of correlated bits, and Alice and Bob keep the bit of secret correlation as secret key (which they may use in the future for private communication). There is one bit of negative information.
3. The distribution  $P_{XYZ}(0,1,1) = P_{XYZ}(0,0,0) = P_{XYZ}(1,0,1) = P_{XYZ}(1,1,0) = \frac{1}{4}$ : If  $Z = 0$  Alice and Bob are perfectly correlated, and if  $Z = 1$  they are anti-correlated. In such a case, Alice can tell Bob her bit publicly, and because an eavesdropper doesn't know Bob's bit, she would not be able to know the value of  $Z$ . Bob will however know  $Z$  and can locally create a random pair of anti-correlated bits or correlated bits depending on the value of  $Z$ . Thus, the distribution merging is achieved with one bit of public communication and no private communication. This reminds one of the state merging problem for the quantum state  $\rho_{AB} = \frac{1}{2}(|00\rangle\langle 00| + |11\rangle\langle 11|)$  whose purification on  $R$  is the GHZ state where the merging is achieved with one bit of classical communication and no quantum communication. Another potential classical analog of the GHZ is the distribution  $P_{XYZ}(1,1,1) = P_{XYZ}(0,0,0) = 1/2$  [10], which has perfect correlations for all sites like for the GHZ state; it also has a merging cost of zero (although zero classical communication unlike in the quantum case). A distribution which has both the above features of the GHZ is the distribution with an equal mixture of  $\{111, 122, 212, 221, 333, 344, 434, 444\}$  inspired by [15]. It has perfect correlations (1 or 2 on one site is correlated with 1 or 2 on the others, and likewise for 3 and 4), as well as the ability of one of the parties to create secret key by informing the other parties of her variable. Like the first GHZ like candidate, it also has no secret communication cost for distribution merging, and public communication cost of one bit, reminiscent of the quantum GHZ state.

**Proof of Theorem 2.** We now describe the general protocol for distribution merging. We will give two proofs of achievability: the first is very simple and uses recycling of the initial secret key resources. Namely, let Alice make her transmission of Slepian-Wolf coding [2] secret, using a rate of  $H(X|Y)$  secret bits. This gives Bob knowledge of  $XY$ , which by the bi-disjointness of  $P_{XYZ}$  informs him

of  $Z$  [rather, the label  $I$  in (1)]. Hence he can produce a fresh sample  $\hat{X}\hat{Y}$  of the conditional distribution  $P_{XY|Z}$  – this solves the merging part. Now only observe that Alice and Bob are still left with the shared  $X$ ; from it they can extract  $H(X|Z)$  secret bits via privacy amplification [16], i.e. random hashing. By repeatedly running this protocol, we can recover the startup cost of providing  $H(X|Y)$  secret bits, which is only later recycled – at least if the rate (2) is positive. In the appendix we show a direct proof in one step, which produces secret key if (2) is negative without the need to provide some to start the process.

Now we turn to the converse, namely that this protocol is optimal. Just as in state merging, the proof comes from looking at monotones. Assuming first that secret key is consumed in the protocol, then the initial amount of secrecy that Bob has with Alice and the reference  $R$  is  $H(K) + I(Y : XZ)$  where  $K$  is a random variable describing the key. By monotonicity of secrecy under local operations and public communication this must be greater than the final amount of secrecy he has with them; but since he then has  $\hat{X}\hat{Y}$ , this is  $I(\hat{X}\hat{Y} : Z) = I(XY : Z)$ . Hence  $H(K) \geq I(XY : Z) - I(XZ : Y) = I(X : Z) - I(X : Y)$  as required. If key is acquired in the protocol, then the value  $H(K)$  should be put as part of the final amount of secrecy, and we have again  $H(K) \leq I(X : Y) - I(X : Z)$ .  $\square$

The cost of distribution merging might appear quite different to the cost of quantum state merging. Actually this is not the case. Since  $|\psi\rangle_{ABR}$  is pure, we may rewrite

$$S(A|B) = \frac{1}{2}[I(A : R) - I(A : B)], \quad (3)$$

in terms of the quantum mutual information  $I(A : B) := S(A) + S(B) - S(AB)$ . This looks like the cost of distribution merging, only with a mysterious factor of  $1/2$ . The factor is the same one that accounts for the fact that while one bit of secret key has  $I(A : B) = 1$  and can be used in a one-time pad protocol for one bit of secret communication, a singlet has  $I(A : B) = 2$  but can teleport only one qubit. For an alternative explanation, see also [17].

**Pure and mixed state analogues.** Note that a crucial part of the merging protocol is that once Bob knows Alice's variable, he effectively knows  $Z$  and can thus recreate the distribution (more precisely, he knows the product distribution he shares with  $R$ ). Recreating the distribution would not be as easy if the total distribution  $P_{XYZ}$  were not bi-disjoint, which further serves to motivate our definition of bi-disjoint distributions as the analogues of pure quantum states (although only for this particular merging task). Nevertheless, one might wonder if we have not overly restricted our model. Let us go back to a general distribution  $P_{XYZ}$  of Alice, Bob and

the reference, and observe that it can always be written

$$P_{XYZ} = (\text{id}_{XY} \otimes \Lambda) \tilde{P}_{XY\tilde{Z}}, \quad (4)$$

with  $\text{id}_{XY}$  the identity,  $\tilde{P}_{XY\tilde{Z}}$  a bi-disjoint distribution, and a noisy channel (a stochastic map)  $\Lambda : \tilde{Z} \rightarrow Z$ . Up to relabelling of  $\tilde{Z}$  there is in fact a unique *minimal* distribution, denoted  $\bar{P}_{XY\bar{Z}}$ , in the sense that every other  $\tilde{P}$  can be degraded to  $\bar{P}$  by locally applying a (deterministic) channel  $\tilde{\Lambda} : \tilde{Z} \rightarrow \bar{Z}$ . One way of doing this is by having  $\bar{Z}$  be a record of which probability distribution needs to be created, conditional on each  $XY$ . A channel can then act on the record  $\bar{Z}$  to create the needed probability distribution  $P_{Z|XY}$ . I.e. we define (cf. [18])

$$\bar{Z} = \Phi(XY) := P_{Z|XY},$$

as an element of the probability simplex – this means that pairs  $XY$  are labelled by the same  $\bar{Z}$  (which is a deterministic function  $\Phi$  of  $XY$ ) if and only if the conditional distributions  $P_{Z|XY}$  are the same. The channel  $\Lambda$  has the transition probabilities  $\Lambda(z|\bar{z}) = \bar{z}(z) = P_{Z=z|XY}$ . Note that  $\bar{P}$  is indeed bi-disjoint. Let us call this  $\bar{P}_{XY\bar{Z}}$  the *purified version* of  $P_{XYZ}$ . Note the beautiful analogy to the quantum case, where every mixed state  $\rho_{ABR}$  on  $ABR$  can be written

$$\rho_{ABR} = (\text{id}_{AB} \otimes \Lambda) \psi_{AB\bar{R}},$$

with a quantum channel  $\Lambda : \bar{R} \rightarrow R$  and an essentially unique pure state  $\psi_{AB\bar{R}}$  (up to local unitaries).

**Theorem 3** *For general  $P_{XYZ}$ , the optimal rate of distribution merging is that of the purified version  $\bar{P}_{XY\bar{Z}}$ , i.e.*

$$I(X : \bar{Z}) - I(X : Y) = H(X|Y) - H(X|\bar{Z}). \quad (5)$$

Clearly, it is achievable: we have a protocol at this rate for  $\bar{P}_{XY\bar{Z}}$ , which must work for  $P_{XYZ}$  as well, since the latter is obtained by locally degrading  $\bar{Z} \rightarrow Z$  which commutes with the merging protocol acting only on Alice and Bob and makes the secrecy condition for the final key only easier to satisfy.

To show that the rate (5) is optimal, we shall argue that successful merging with reference  $Z$  implies that the protocol is actually successful for reference  $\bar{Z}$ , at which point we can use the previous converse for “pure” (bi-disjoint) distributions. Observe that Bob at the end of the protocol has to produce samples  $\hat{X}^n \hat{Y}^n$  such that  $P_{\hat{X}^n \hat{Y}^n Z^n} \approx P_{X^n Y^n Z^n}$ . Assume now that it were true that with high probability (over the joint distribution of  $X^n Y^n Z^n \hat{X}^n \hat{Y}^n$ ),

$$\tilde{Z}^n := \Phi^n(\hat{X}^n \hat{Y}^n) \stackrel{!}{=} \Phi^n(X^n Y^n) = \bar{Z}^n. \quad (6)$$

This in fact implies that merging is achieved for the distribution  $\bar{P}_{XY\bar{Z}}$ :

$$\begin{aligned} & \|\bar{P}_{X^n Y^n \bar{Z}^n} - \bar{P}_{\hat{X}^n \hat{Y}^n \bar{Z}^n}\|_1 \\ & \leq \|\bar{P}_{X^n Y^n \bar{Z}^n} - \bar{P}_{\hat{X}^n \hat{Y}^n Z^n}\|_1 \\ & \quad + \|\bar{P}_{\hat{X}^n \hat{Y}^n Z^n} - \bar{P}_{\hat{X}^n \hat{Y}^n \bar{Z}^n}\|_1 \\ & \leq \|P_{X^n Y^n} - P_{\hat{X}^n \hat{Y}^n}\|_1 + 2 \Pr\{\tilde{Z}^n \neq \bar{Z}^n\}, \end{aligned}$$

and both final terms are small. Furthermore, the secret key (possibly) distilled at the end of the protocol has to be uncorrelated to  $\hat{X}^n \hat{Y}^n$ , and since this data includes knowledge of  $\bar{Z}^n$ , the key will not only be secret from a reference  $Z^n$  but even against  $\bar{Z}^n$ .

Now, unfortunately we cannot argue (6) for a given protocol (and insofar the situation is understood, it may not even be generally true [19]); however, we can modify the protocol slightly – in particular losing only a sub-linear number of key bits – such that (6) becomes true. We invoke a result on so-called “blind mixed-state compression” [20, 21] (see also [22]): notice that Bob has to output (for most  $Z$ ) a sample of the conditional distribution  $P_{XY|Z}$ , but that Alice and Bob together have access only to one sample of that distribution, without knowing  $Z$ . The central technical result in [20] is that every such process must preserve a lot of correlation between the given and the produced sample, in the sense that  $\Pr\{\Phi(\hat{X}_I \hat{Y}_I) \neq \Phi(X_I Y_I)\}$ , with random index  $I$ , is small. In other words, with high probability, the string  $\Phi^n(\hat{X}^n \hat{Y}^n)$  is within a small Hamming ball around  $\bar{Z}^n = \Phi^n(X^n Y^n)$ . Since Bob knows  $Y^n$  already, Alice will need to send only negligible further information about  $X^n$  to Bob (invoking Slepian-Wolf another time) so that he can determine the correct  $\bar{Z}^n$  with high probability. On the other hand, privacy amplification incurs only a negligible loss in rate to make the final secret key independent of this further communication (namely just its length), and hence of  $\bar{Z}^n$ . Hence, we have a protocol that effectively puts Bob in possession of  $\bar{Z}$ , of which the final secret key is independent; hence he could just output a sample from  $\bar{P}_{XY\bar{Z}}$ , which would yield a valid and asymptotically correct protocol.

The expression in Eq. (5), when negative and optimised over pre-processing, was previously shown to be the rate for secret key generation [23, 24, 25]. Here, as in the quantum case, we find that distribution merging provides an interpretation of this quantity without looking at optimisations, and for both the positive and negative case.

Note that for given  $P_{XYZ}$ , if  $Q_{XYZ'} = (\text{id}_{XY} \otimes \Lambda) P_{XYZ}$  with  $\Lambda$  sufficiently close to the identity, the two distributions have the same purification, leading to the conclusion that our result on distribution merging is robust under small perturbations of the reference. Note however that a general perturbation of  $P_{XYZ}$  by an arbitrary small change in the probability density leads to

a drastic discontinuity: namely, a generic perturbation  $Q_{X'Y'Z'}$  will have trivial purification  $\overline{Z'} = X'Y'$  because all conditional distributions  $Q_{Z'|X'Y'}$  will be different. Thus, for  $Q$  the merging cost will be  $H(X'|Y')$  – essentially Slepian-Wolf coding with Bob outputting the very  $X'Y'$  of the source, so Alice and Bob’s common knowledge of  $X'$  cannot be turned into secret key. However, this is consistent with the extreme case of  $P_{XYZ} = P_{XY} \otimes P_Z$ , which has merging cost  $-I(X : Y)$  since Bob can locally produce a fresh sample from  $P_{XY}$ , and he can extract  $I(X : Y)$  secret bits from the correlation  $XY$  with Alice.

**Distribution exchange.** We now turn to finding an analogue of quantum state exchange [26]. In the quantum task, not only does Alice send her state to Bob, but Bob should additionally send his state to Alice, which is to say that the final state is just the initial state with Alice and Bob’s shares permuted. Amazingly, this can require less resources than if only Alice is required to send to Bob. In general, the number of qubits that need to be exchanged can be said to quantify the *uncommon quantum information* between Alice and Bob, because this is the part which has to be sent to their partner. We can consider the analogy of this, where Alice and Bob must exchange distributions. This minimal rate of secret key clearly must be non-negative, since Alice and Bob could otherwise continue swapping their distribution and create unlimited secret key from some given correlation and LOPC. Note that the rate zero is indeed possible. The distribution  $P_{XYZ}(0,0,0) = P_{XYZ}(1,1,1) = P_{XYZ}(0,1,2) = P_{XYZ}(1,0,2) = \frac{1}{4}$ , for instance, has the property that exchanging the distribution has zero exchange cost (because it is symmetric), while the cost of Alice merging her distribution to Bob’s is  $I(X : Z) = \frac{1}{2}$ .

In [26], a lower bound for quantum state exchange given in terms of one-way entanglement distillation between  $R$  and each of the parties was proven. A similar lower bound  $K^-(Z|X) + K^-(Z|Y)$ , where  $K^-(Z|T)$  is the distillable key (using only one-way communication from  $R$ ) can be proven in the context of distribution exchange. For upper bounds, one can introduce protocols, for example Slepian-Wolf coding in either direction is also possible, costing  $H(X|Y) + H(Y|X)$ . A more sophisticated protocol that is sometimes better uses results from [27]: the rate  $I(X : Z) - I(X : Y) + I(XY : W)$  can be achieved (or the same quantity with  $X$  and  $Y$  interchanged, whichever is smaller); this quantity is minimized over distributions  $W$  such that  $X-W-Y$  is a Markov chain. The protocol is for Alice to merge her  $X$  to Bob, which consumes  $I(X : Z) - I(X : Y)$  secret bits; then Bob locally creates not  $\hat{X}\hat{Y}|Z$  as with merging, but rather  $W|Z$  and then  $W$  is essentially communicated back to Alice – but by [27] only a rate  $I(XY : W)$  needs to be sent. Then, based on  $W$ , each one creates a sample  $\hat{X}$  and  $\hat{Y}$ , respectively.

An interesting aspect of quantum state exchange is that the rate given by the sum of both parties’ minimal rate of state merging  $S(A|B) + S(B|A)$  is usually not attainable (although as noted above, one can sometimes beat it). This is because if Alice first merges her state with Bob, Bob will not be able to merge his state with Alice, but must send at the full rate  $S(B)$ . This is because after Alice merges, she is left with nothing, being unable to clone a copy of her state. This motivates us to consider the analogue of cloning, especially since naïvely, classical variables can be copied. However, we need a different kind of copying to enable Alice and Bob to merge their distributions simultaneously: it would be for Alice to create a fresh, independent sample from the conditional distribution  $P_{X|YZ}$  of her  $X$ , given  $Y$  and  $Z$  (which are unknown to her). If she could do that, she would be able to merge her first sample to Bob at secret key cost  $I(X : Z) - I(X : Y)$ , and then he could merge his  $Y$  to her second sample (which we designed to have the same joint distribution with  $YZ$ ), at cost  $I(Y : Z) - I(X : Y)$ . Since we know that the sum

$$\begin{aligned} I(X : Z) + I(Y : Z) - 2I(X : Y) \\ = H(X|Y) + H(Y|X) - H(X|Z) - H(Y|Z) \end{aligned}$$

is not in general an achievable rate, this hypothetical cloning cannot be always possible. Such cloning is indeed always impossible, unless the various conditional distributions  $P_{X|YZ}$  are either identical or have disjoint support [28]. Note that in this case,  $P_{XYZ}$  is bi-disjoint for the cut  $X-YZ$ . A different viewpoint is that the cloning would increase the (secret) correlation between Alice and Bob, which of course cannot be unless they can privately communicate; this seems to be another way of thinking about a classical analogue of the no-cloning principle [29].

**Conclusion.** In this paper, we have described a classical analogue of negative quantum information, and we find that the similarities between quantum information theory and privacy theory extend very far in this analogy (at least in the present context), including no-cloning, pure and mixed states, and GHZ-type correlations. Quantum state merging (with reference systems such that the overall state is pure or mixed) and state exchange lead to similar protocols in the case of private distributions which have many properties in common with their quantum counterparts. This is part of a body of work exploring the similarities between entanglement and classical correlations, which, it is hoped, will stimulate progress in both fields, for instance, on the question of the possible existence of bound information [9].

**Acknowledgments.** This work is supported by EU grants RESQ (IST-2001-37559), and PROSECCO (IST-2001-39227). JO additionally acknowledges the support of a grant from the Royal Society and the Newton Trust. AW additionally acknowledges support from the U.K.

Engineering and Physical Sciences Research Council’s “QIP IRC” and through a University of Bristol Research Fellowship.

**APPENDIX – Direct proof of Theorem 2.** For the second, direct, proof of achievability, we will need the sampling lemma, which is proved in [27] (see also [30] and [31]):

**Lemma 4** *Consider a distribution  $P_{UV}$  of random variables  $U$  and  $V$  (with marginals  $P_V$  and  $P_U$ ), and  $n$  independent samples  $U^n V^n = U_1 V_1, \dots, U_n V_n$  from this distribution. Then for every  $\gamma > 0$  and sufficiently large  $n$ , there are  $N \leq 2^{n(I(U:V)+\gamma)}$  sequences  $u^{(i)}$  from  $U^n$  such that, with*

$$Q := \frac{1}{N} \sum_{i=1}^N P_{V^n | U^n = u^{(i)}}, \quad (7)$$

$$D(Q \| P_V^{\otimes n}) \leq 2^{-\gamma n}. \quad (8)$$

Here,  $D$  denotes the relative entropy. Furthermore, such a family of sequences is found with high probability by selecting them independently at random with probability distribution  $P_U^{\otimes n}$ .

In such a situation we say that the distribution of  $V^n$ ,  $P_{V^n}$  is covered by the  $N$  sequences, meaning that the distribution  $P_V^{\otimes n}$  is approximated with high accuracy by choosing only slightly more than  $2^{nI(U:V)}$  sequences from  $U^n$ .

We achieve distribution merging using a protocol extremely reminiscent of state merging. In state merging, one adds a maximally entangled state of dimension  $nS(A|B)$  bits, and then performs a random measurement on  $\rho_A$  and the pure entanglement, the result of which is communicated to Bob. Here, Alice and Bob add a secret key of size  $H(K)$ , and the analogy of a random measurement will be a random hash (described below), the result of which is communicated to Bob. In state merging, a faithful protocol has the property that  $\rho_R$  is unchanged and Bob can decode his state to  $\rho_A$  after learning Alice’s measurement. Here, a successful protocol is likewise one which allows Bob to learn  $X$ , while the distribution of  $R$  is unchanged if one conditions on the result of Alice’s measurement.

Let us first take the case when  $I(X : Z) - I(X : Y)$  is negative. Alice and Bob previously decide on a random binning, or *code*, which groups Alice’s  $2^{nH(X)}$  sequences into  $2^{nH(X|Y)}$  sets of size just under  $2^{nI(X:Y)}$ . Each of these sets are numbered by  $\mathcal{C}_o$  and is called the *outer code*. Within each set, we further divide the sequences into  $2^{n[I(X:Y)-I(X:Z)]}$  sets containing just over  $2^{nI(X:Z)}$  sequences. These smaller sets are labeled by  $\mathcal{C}_i$ , the *inner code*. Alice then publicly broadcasts the number  $\mathcal{C}_o$  of the outer code that her sequence is in (this

takes  $nH(X|Y)$  bits of public communication to Bob). Now, based on learning  $\mathcal{C}_o$ , Bob will know  $X^n$  by the Slepian-Wolf theorem [2]. We say that he can decode Alice’s sequence. Because the distribution  $P_{XYZ}$  is bi-disjoint, and Bob knows  $X^n$  and  $Y^n$ , he must know  $Z^n$ . He can now create the distribution  $P_{\hat{X}\hat{Y}|Z=z} = P_{XY|Z=z}$ . He has thus succeeded in obtaining  $\hat{X}\hat{Y}$  such that the overall distribution is close to  $P_{XYZ}$ . Furthermore, the distribution is private – each set (or code) in  $\mathcal{C}_o$  has more than  $2^{nI(X:Z)}$  elements (i.e. codewords) [recall that there are  $2^{nI(X:Y)}$  outer codewords, and  $I(X : Y) \geq I(X : Z)$ ]. The sampling lemma then tells us that  $R$ ’s distribution is unchanged i.e.  $P_{Z^n | \mathcal{C}_o = c} \approx P_{Z^n}^{\otimes n}$ , which means that an eavesdropper who learns which code  $\mathcal{C}_o$  Alice’s sequence is in, doesn’t learn anything about the sequence that  $R$  has.

Next, we see that Alice and Bob gain  $n[I(X : Y) - I(X : Z)]$  bits of secret key. Since Alice and Bob both know  $X^n$ , they both know which inner code  $\mathcal{C}_i$  it lies in, and this they use as the key. There are  $2^{n[I(X:Y)-I(X:Z)]}$  of them, and each contains just over  $2^{nI(X:Z)}$  codewords in it. Thus, from the covering lemma,  $R$ ’s state is independent of its value, thus she (and consequently any eavesdropper) has arbitrarily small probability of knowing its value.

Now, in the case where  $I(X : Z) - I(X : Y)$  is positive, Alice and Bob simply use  $I(X : Z) - I(X : Y)$  bits of secret key. Since each bit of key decreases  $I(X : Z) - I(X : Y)$  by 1, they need this amount of key until the quantity  $I(X : Z) - I(X : Y)$  is negative, and then the preceding proof applies. We thus see that  $I(X : Z) - I(X : Y)$  bits of key are required to perform distribution merging, and if it is negative, one can achieve distribution merging, while obtaining this amount of key.  $\square$

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